

Federal Regulatory Enforcement Actions Issued June and July 2015

Inst. Type	Institution Name	City	State	Enforcement Action Type	Enforcement Action Date	Principal Regulator
HC	COOPERATIEVE CENTRALE RAIF	UTRECHT		Formal Written Agreement/supervisory agreement.	06/30/2015	FRB
BK	BANAMEX USA	CENTURY CITY	CA	Other Fine against a bank, e.g. - civil money penalty	07/22/2015	FDIC
CU	RANCHO	DOWNEY	CA	Fine levied against a person (rather than an institution)	07/10/2015	NCUA
CU	RANCHO	DOWNEY	CA	Personal action involving removal, dismissal or suspension action against a person	07/10/2015	NCUA
CU	VYSTAR	JACKSONVILLE	FL	Personal action involving removal, dismissal or suspension action against a person	06/15/2015	NCUA
HC	SEAWAY BANCSHARES, INC.	CHICAGO	IL	Formal Written Agreement/supervisory agreement.	06/24/2015	FRB
HC	SEAWAY BANCSHARES, INC.	CHICAGO	IL	Formal Written Agreement/supervisory agreement.	06/24/2015	FRB
BK	HARVARD SAVINGS BANK	HARVARD	IL	Cease and Desist (Consent) order against an institution	06/08/2015	FDIC
BK	LABETTE BANK	ALTAMONT	KS	Fine levied against a person (rather than an institution)	06/24/2015	FDIC
BK	FIRST FSB OF ELIZABETHTOWN	ELIZABETHTOWN	KY	Other Fine against a bank, e.g. - civil money penalty	06/24/2015	FDIC
HC	SANTANDER HOLDINGS USA, INC.	BOSTON	MA	Formal Written Agreement/supervisory agreement.	07/02/2015	FRB
BK	INSTITUTION FOR SVG IN NEWBU	NEWBURYPORT	MA	Other Fine against a bank, e.g. - civil money penalty	06/01/2015	FDIC
BK	UNION BANK	LAKE ODESSA	MI	Other Fine against a bank, e.g. - civil money penalty	06/30/2015	FDIC
HC	RATON CAPITAL CORPORATION	RATON	NM	Formal Written Agreement/supervisory agreement.	07/10/2015	FRB
CU	HORIZONS	BINGHAMTON	NY	Personal action involving removal, dismissal or suspension action against a person	06/08/2015	NCUA
CU	UMICO	NEW HARTFORD	NY	Fine levied against a person (rather than an institution)	07/01/2015	NCUA
CU	UMICO	NEW HARTFORD	NY	Personal action involving removal, dismissal or suspension action against a person	07/01/2015	NCUA
CU	RIVERVIEW	BELPRE	OH	Personal action involving removal, dismissal or suspension action against a person	06/30/2015	NCUA
BK	JPMORGAN CHASE BANK NA	COLUMBUS	OH	Other Fine against a bank, e.g. - civil money penalty	07/08/2015	OCC
BK	FIRST CAPITAL BK	KINGFISHER	OK	Personal action involving removal, dismissal or suspension action against a person	06/03/2015	FDIC
BK	FIRST CAPITAL BK	KINGFISHER	OK	Fine levied against a person (rather than an institution)	06/03/2015	FDIC
BK	THE BANK OF OSWEGO	LAKE OSWEGO	OR	Fine levied against a person (rather than an institution)	06/08/2015	FDIC
BK	THE BANK OF OSWEGO	LAKE OSWEGO	OR	Personal action involving removal, dismissal or suspension action against a person	06/08/2015	FDIC
CU	PETROWAX	SMETHPORT	PA	Personal action involving removal, dismissal or suspension action against a person	06/30/2015	NCUA
BK	CITIBANK NATIONAL ASSOCIATIO	SIOUX FALLS	SD	Cease and Desist (Consent) order against an institution	07/20/2015	OCC
BK	CITIBANK NATIONAL ASSOCIATIO	SIOUX FALLS	SD	Other Fine against a bank, e.g. - civil money penalty	07/20/2015	OCC
CU	GATEWAY	CLARKSVILLE	TN	Personal action involving removal, dismissal or suspension action against a person	06/19/2015	NCUA
BK	CITIZENS STATE BANK	BUFFALO	TX	Personal action involving removal, dismissal or suspension action against a person	06/03/2015	FDIC
BK	THE ELKHART STATE BANK	ELKHART	TX	Prompt Corrective Action/Capital Directive	06/01/2015	FDIC
BK	ALLIANCE BANK CENTRAL TEXAS	WACO	TX	Fine levied against a person (rather than an institution)	06/24/2015	FDIC
BK	ALLIANCE BANK CENTRAL TEXAS	WACO	TX	Personal action involving removal, dismissal or suspension action against a person	06/24/2015	FDIC
BK	TRANSPORTATION ALLIANCE BK I	OGDEN	UT	Personal action involving removal, dismissal or suspension action against a person	06/24/2015	FDIC
BK	TRANSPORTATION ALLIANCE BK I	OGDEN	UT	Fine levied against a person (rather than an institution)	06/24/2015	FDIC

Inst. Types: BK - Bank, CU - Credit Union, HC - Holding Company

Regulators: FDIC - Federal Deposit Insurance Corporation, FRB - Federal Reserve Board, NCUA - National Credit Union Administration, OCC - Office of the Comptroller of the Currency

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BK	SUNFIRST BK	SAINT GEORGE	UT	Fine levied against a person (rather than an institution)	06/24/2015	FDIC
BK	SUNFIRST BK	SAINT GEORGE	UT	Fine levied against a person (rather than an institution)	06/24/2015	FDIC
CU	LYNROCTEN	LYNCHBURG	VA	Personal action involving removal, dismissal or suspension action against a person	06/30/2015	NCUA
BK	BANK OF MINGO	WILLIAMSON	WV	Other Fine against a bank, e.g. - civil money penalty	06/15/2015	FDIC

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